

SCHEDULE A ADDENDUM TO THE SERVICE LEVEL AGREEMENT BETWEEN UTAH INTERACTIVE AND THE UTAH DEPARTMENT OF COMMERCE

This document will serve as the latest Schedule A addendum to the Service Level Agreement with the Network, Utah Interactive, adding additional Utah Department of Commerce, Division of Corporations and Commercial Code transactions or services to the Network, subject to Board approval.

Proposed Service: UCC/CFS List Service

AGREEMENTS

1. Access By the Network Manager. Utah Department of Commerce, Division of Corporations and Commercial Code authorizes the Network Manager, to provide electronic access to information contained in the Department of Commerce's Database , in accordance with this Agreement. The description of Service is as follows:

This service will allow users to access certain portions of the Department of Commerce's database to create and receive an electronic file which contains requested sections of database as identified by the user. By selecting certain search criteria they are able to request that a file be created that they will be able to download in one of a variety of ways.

Users will be able to request single 'downloads' or create a profile for a specific search and 'download' to be created and pushed to the user at regular intervals. Files created on a regular basis as a result of a profile would require network registration to allow users to create a profile.

2. Record Classification and User Access Utah Department of Commerce, Division of Corporations and Commercial Code represents to the Network Manager the record classification:

Public Record

The Network Manager certifies that they will offer the following security and authorization for access to the application outlined above:

Users will be offered only those fields as designated by the Department of Commerce, Division of Corporations and Commercial Code.

Users will be required to authenticate when using the service by registering with the network through the subscription agreement and using a unique username and password.

3. Record Supply Costs and Computer Programs. The Network Manager shall be responsible for costs and expenses in establishing access to the records and providing record transactions to Users and Subscribers, including without limitation, the cost for purchasing or developing and maintaining all programs used to interface with the Utah Department of Commerce, Division of Corporations and Commercial Code computer programs and to access the Records. Computer programs used by the Network Manager shall:

- a. Protect information from unauthorized access;
- b. Supply records to Subscribers on a timely basis in an accurate, understandable

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and logical format acceptable to Utah Department of Commerce, Division of Corporations and Commercial Code;

- c. Be tested by the Network Manager and prototyped for the Division of Corporations and Commercial Code's review and approval before it is offered to the public.
- 4. Use messages. If required by the Division of Corporations and Commercial Code, the Network Manager shall cause the Network to require Users to signify online that they will comply with any restriction on use of the records required by law, as amended from time to time. Such user agreement will be in the form of a use message displayed online, with a required positive response, all as captured in a log file, prior to the User being permitted to further search or view the record.
- 5. Subscriber Agreements. The Network Manager shall obtain initial written agreements from all Subscribers that they will comply with any other applicable federal or Utah laws, regulations, or policies relating to record use and confidentiality. Such agreements shall be maintained by the Network Manager for inspection by the Utah Department of Commerce, Division of Corporations and Commercial Code. If the information requested by the subscriber is non-public information, then the network manager shall submit the request to Division of Corporations and Commercial Code for approval.
- 6. Transaction Logs. Utah Department of Commerce, Division of Corporations and Commercial Code shall have access to the Network's Subscriber Transaction Log for the purpose of auditing the collection and dissemination of Utah Department of Commerce, Division of Corporations and Commercial Code records. Unless otherwise specified by Utah Department of Commerce, Division of Corporations and Commercial Code, this Log shall contain the following data: Subscriber name, transaction data and time and type of inquiry/transaction.
- 7. Payment and Collection.

A Premium Service Charge is requested for this service.

- a. Collection: The Network Manager shall be totally responsible for the collection of payments from Users and Subscribers according to the chart below. No additional amounts may be charged by the Network Manager for the identified records without the prior written agreement of the Utah Department of Commerce, Division of Corporations and Commercial Code and the Network Board. The fee will be reevaluated periodically at the Network or the Department of Commerce's discretion. The fee charged by Network represents a fee for services to gateway system users. The Network hereby represents to that it believes the following charges will fairly and reasonably recompense the Network Manager in order to make the Master Contract a success:

<u>Agency/Project/ Priority</u>	<u>Statutory Fee</u>	<u>the Network Charge</u>
1.) Full Downloads	\$0.00	\$1,000.00

- b. Payment: The Network transaction charge will be added to the total order and will be authenticated at the time of transaction. Proceeds from completed transactions will be directly deposited to the network account.

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8. Records and Finances. All Network documents and records maintained by the Network Manager relating to Utah Department of Commerce, Division of Corporations and Commercial Code records shall be available for inspection, auditing and copying by the agency or other authorized representatives.

9. Efficiency Gain Calculation. The Utah Department of Commerce, Division of Corporations and Commercial Code and the Network will establish baseline cost for the UCC Data requests both prior to making the enhanced service available and after the service is made available to estimate the cost savings of offering the service.

IN WITNESS WHEREOF, the parties hereto have caused this instrument to be executed by their duly authorized officials or officers.

Utah Department of Commerce, Division of Corporations and Commercial Code

By Kathy Berg
Kathy Berg, Director

Date 12 Dec 2001

The Network

By Amy Sawyer
Amy Sawyer, General Manager

Date 12-14-01

The Board

By Alan Sherwood
Alan Sherwood, Chair

Date _____

**SCHEDULE A ADDENDUM TO THE
SERVICE LEVEL AGREEMENT BETWEEN E-UTAH
AND THE UTAH DEPARTMENT OF COMMERCE**

This document will serve as the latest Schedule A addendum to the Service Level Agreement with the Network, e-Utah, adding additional Utah Department of Commerce, Division of Corporations and Commercial Code transactions or services to the Network, subject to Board approval.

AGREEMENTS

1. Access By the Network Manager Utah Department of Commerce, Division of Corporations and Commercial Code authorizes the Network Manager, to provide electronic access to information contained in the Central Filing System Database, in accordance with this Agreement. Description of Service is as follows:

This service will make available information that allows commission merchants, selling agents or buyers to identify farm products that are subject to a security interest in Utah.

Users will be able to look up lien information by file number or by debtor name. This service will require a registration agreement with eUtah.

2. Record Classification and User Access Utah Department of Commerce, Division of Corporations and Commercial Code represents to the Network Manager the record classification: Public Records

The Network Manager certifies that they will offer the following security and authorization for access to the application outlined above:

Users will be offered only those fields as designated by the Department of Commerce, Division of Corporations and Commercial Code. Users will be required to authenticate into the CFS system via a username and password before they will be permitted to use the service.

Unique user names and passwords will be provided to users of the system upon completing an eUtah network registration.

3. Record Supply Costs and Computer Programs. The Network Manager shall be responsible for costs and expenses in establishing access to the records and providing record transactions to Users and Subscribers, including without limitation, the cost for purchasing or developing and maintaining all programs used to interface with the Utah Department of Commerce, Division of Corporations and Commercial Code computer programs and to access the Records. Computer programs used by the Network Manager shall:
- a. Protect information from unauthorized access;
 - b. Supply records to Subscribers on a timely basis in an accurate, understandable and logical format acceptable to Utah Department of Commerce Division of Corporations and Commercial Code;
 - c. Be tested by the Network Manager and prototyped for Utah Department of

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Commerce, Division of Corporations and Commercial Code, review and approval before it is offered to the public.

4. Use messages. If required by Utah Department of Commerce, Division of Corporations and Commercial Code, the Network Manager shall cause the Network to require Users to signify online that they will comply with any restriction on use of the records required by law, as amended from time to time. Such user agreement will be in the form of a use message displayed online, with a required positive response, all as captured in a log file, prior to the User being permitted to further search or view the record.
5. Subscriber Agreements. The Network Manager shall obtain initial written agreements from all Subscribers that they will comply with any other applicable federal or Utah laws, regulations, or policies relating to record use and confidentiality. Such agreements shall be maintained by the Network Manager for inspection by the Utah Department of Commerce, Division of Corporations and Commercial Code.

6. Payment and Collection.

A transaction charge is not requested for the Central filing System lookup, however, CFS users will be required to register with the eUtah network.

<u>Agency/Project/ Priority</u>	<u>Statutory Fee</u>	<u>Transaction Charge</u>	<u>Total</u>
1.) Central Filing System Lookup	\$0.00	\$0.00	\$0.00

7. Records and Finances. All Network documents and records maintained by the Network Manager relating to Utah Department of Commerce records shall be available for inspection; auditing and copying by the agency or other authorized representatives.
8. Efficiency Gain Calculation. The Utah Department of Commerce, Division of Corporations and Commercial Code and the Network will establish baseline cost for the processing of Central Filing System requests both prior to making the enhanced service available and after the service is made available to estimate the cost savings of offering the service.

IN WITNESS WHEREOF, the parties hereto have caused this instrument to be executed by their duly authorized officials or officers.

Utah Department of Commerce, Division of Corporations and Commercial Code

By 
Lorena Riffo Jenson

Date _____

The Network

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By 
Richard L Brown, General Manager

Date 11/15/2000

The Board

By 
Alan Sherwood, Chair

Date _____